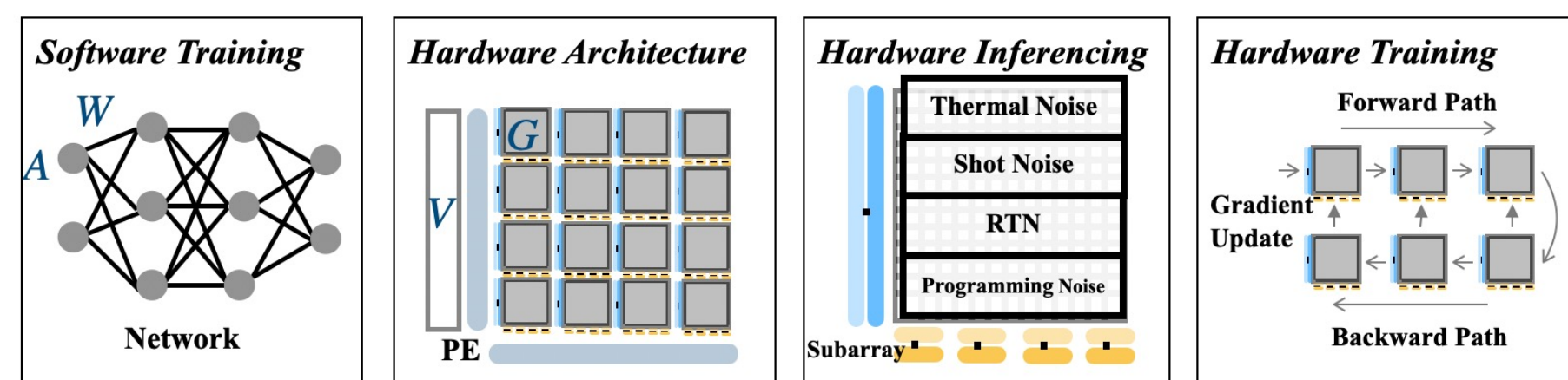


Improving the Efficiency and Robustness of In-Memory Computing in Emerging Technologies

Ph.D. Candidate: Xiaoxuan Yang
Advisors: Dr. Hai (Helen) Li, Dr. Yiran Chen
Duke University

Duke | CENTER for COMPUTATIONAL EVOLUTIONARY INTELLIGENCE

Background and Overview



Challenges of In-Memory Computing Systems for Neural Networks:

- Software training: existing tradeoff between **generalization and robustness**.
- Hardware architecture: some of **network functionalities** cannot be efficiently supported by existing designs.
- Hardware inferencing: device **stochastic noise** will decrease the inferencing accuracy.
- Hardware training: each training iteration will rewrite the cells on crossbar and may **wear out** the hardware.

My Ph. D. works and contributions:

- Generalized algorithm enhances robustness against weight perturbation.
- Architecture design enables efficient Transformer in PIM system.
- Systematic framework builds robust and efficient PIM System.
- Hardware-software co-design helps reliable in-memory training design.

Develop Robust Preserving Optimization [DAC' 22]

Highlights:

- Unify and improve generalization and quantization performance under bounded weight perturbation.

Methods:

- Hessian-enhanced regularization optimization (HERO)

Hessian eigenvalue regularization

$$L_r^i(W^i) = \|\nabla L(W^i + hz^i) - \nabla L(W^i)\|^2$$

$$z^i = \frac{W^{i2} \nabla L(W^i)}{\|W^i\|_2 \|\nabla L(W^i)\|_2}$$

- Finite difference approximation along high curvature direction
- Adaptive perturbation strength across different layers

Gradient of regularization

$$G(U) := \|\nabla L(U) - \nabla L(W^i)\|^2$$

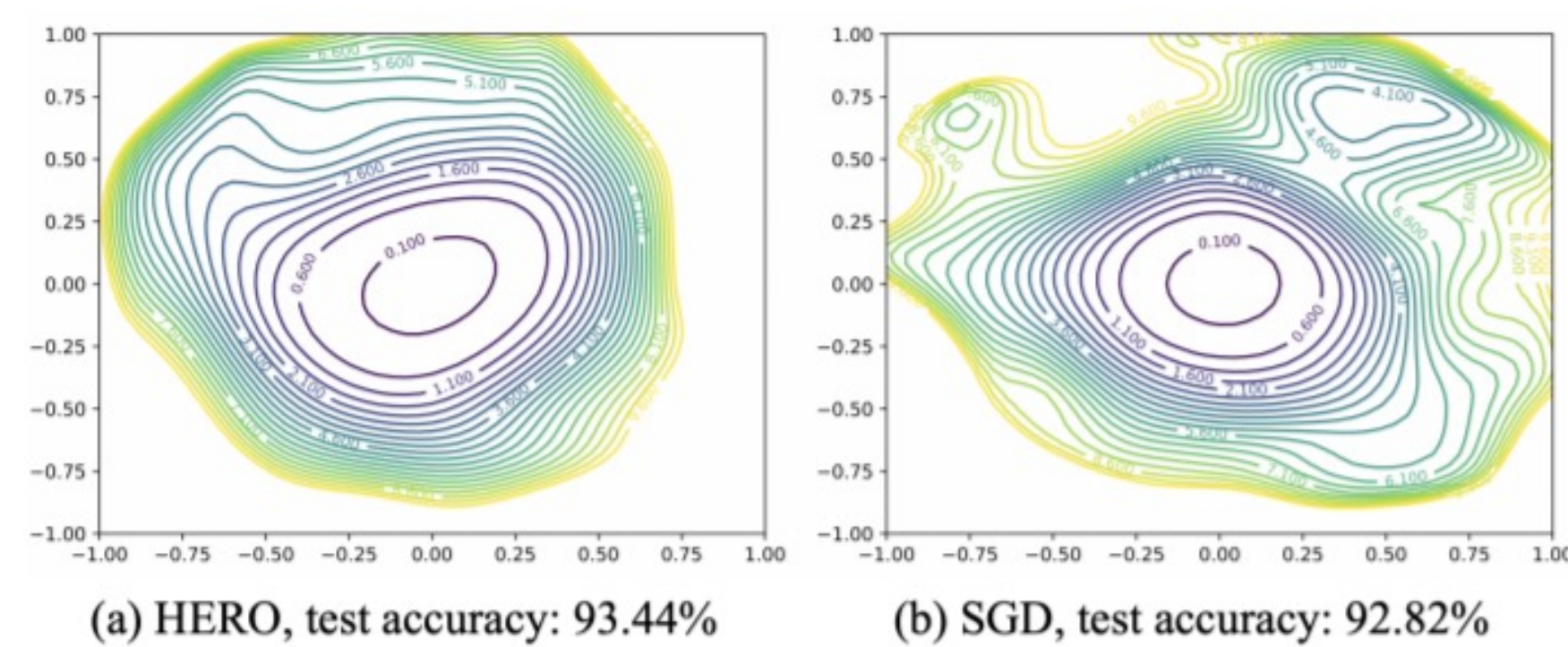
$$\nabla L_r^i(W^i) = \nabla_{(W^i+hz^i)} G(W^i + hz^i) \cdot \nabla_{W^i} (W^i + hz^i)$$

$$\approx \nabla_{(W^i+hz^i)} G(W^i + hz^i)$$

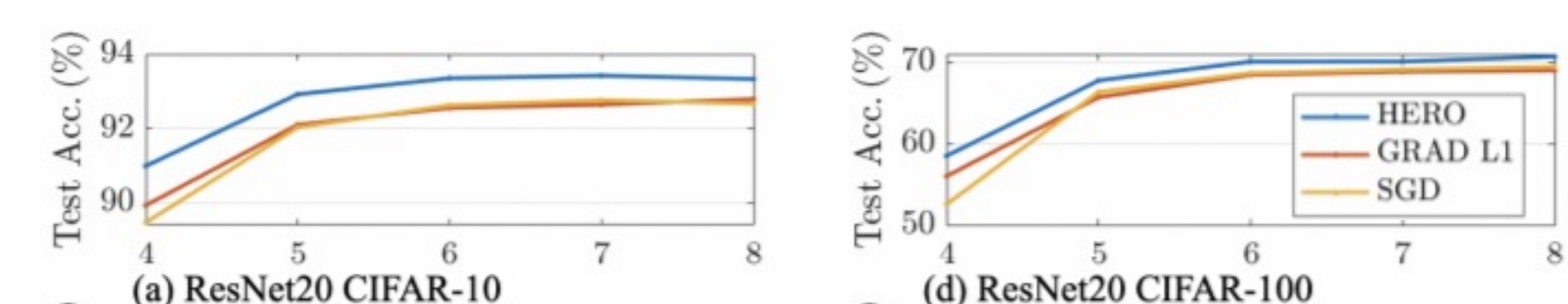
- Approximate L_r gradient
- Apply SAM gradient as first-order regularization

Overall optimization step

$$\nabla_{W^i} = \nabla_{(W^i+hz^i)} L(W^i + hz^i) + \alpha W + \gamma \sum_{i=1}^N \nabla_{(W^i+hz^i)} G(W^i + hz^i)$$



- HERO generates smoother loss surface and provides stronger perturbation tolerance.



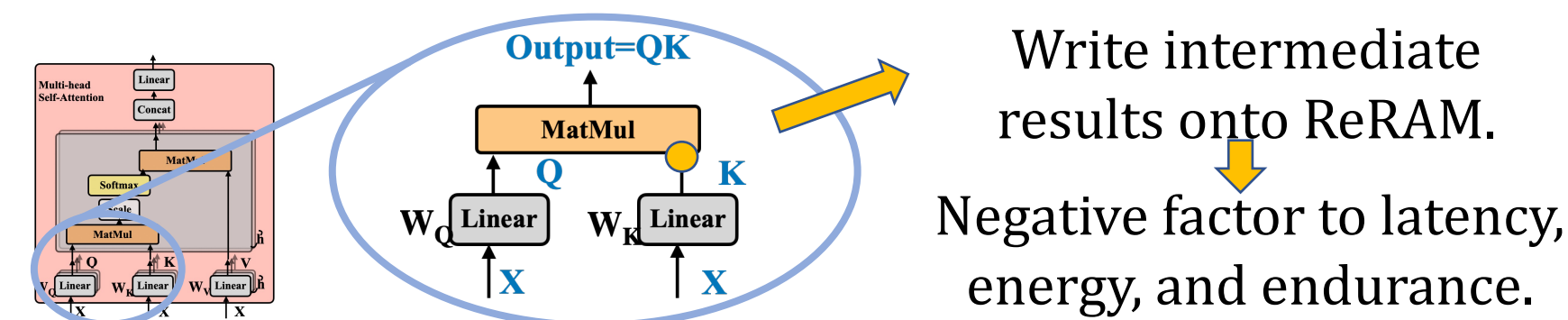
- HERO has better testing accuracy.
- HERO exhibits higher robustness against post-training quantization.

Enable Efficient Transformer with PIM [ICCAD' 20]

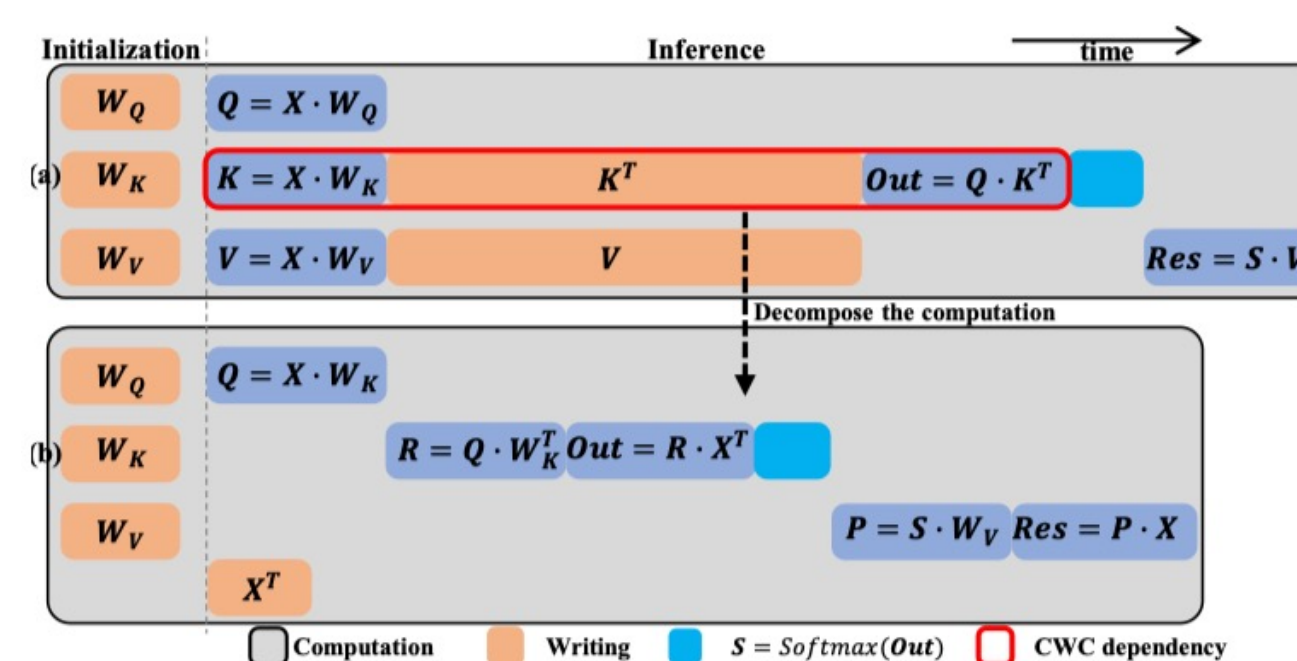
Highlights:

- Accelerate the scaled dot-product attention of Transformer using ReRAM-based PIM with ReTransformer design.
- Eliminate some data dependency by avoiding writing intermediate results using the proposed matrix decomposition technique.

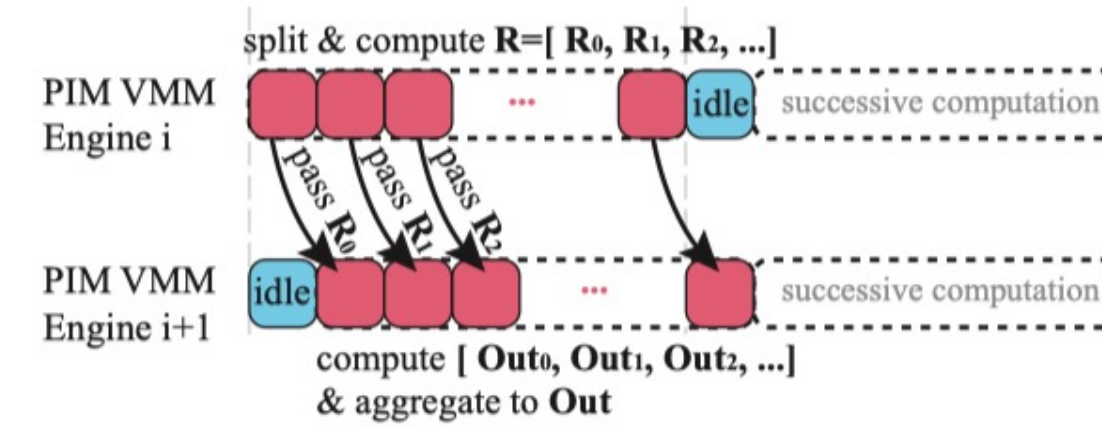
Challenge: MatMul layer deals with results from the previous step.



Optimized MatMul: use matrix decomposition in scaled dot-product attention to eliminate the data dependency and reduce the computation latency.



Sub-matrix pipeline: design a fine granularity for Transformer inference.



- ✓ Compared to GPU and Pipelayer, ReTransformer improves computing efficiency by 23.21× and 3.25×, respectively.

Explore Robust and Efficient PIM System [ICCAD' 21]

Highlights:

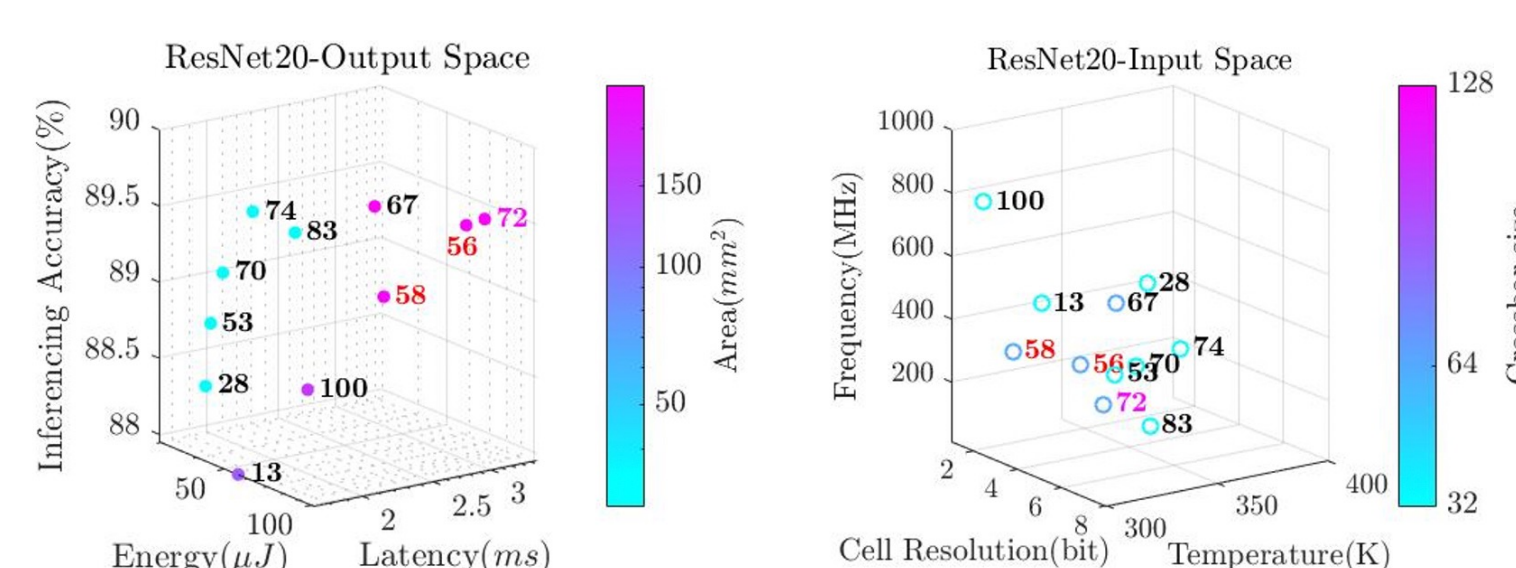
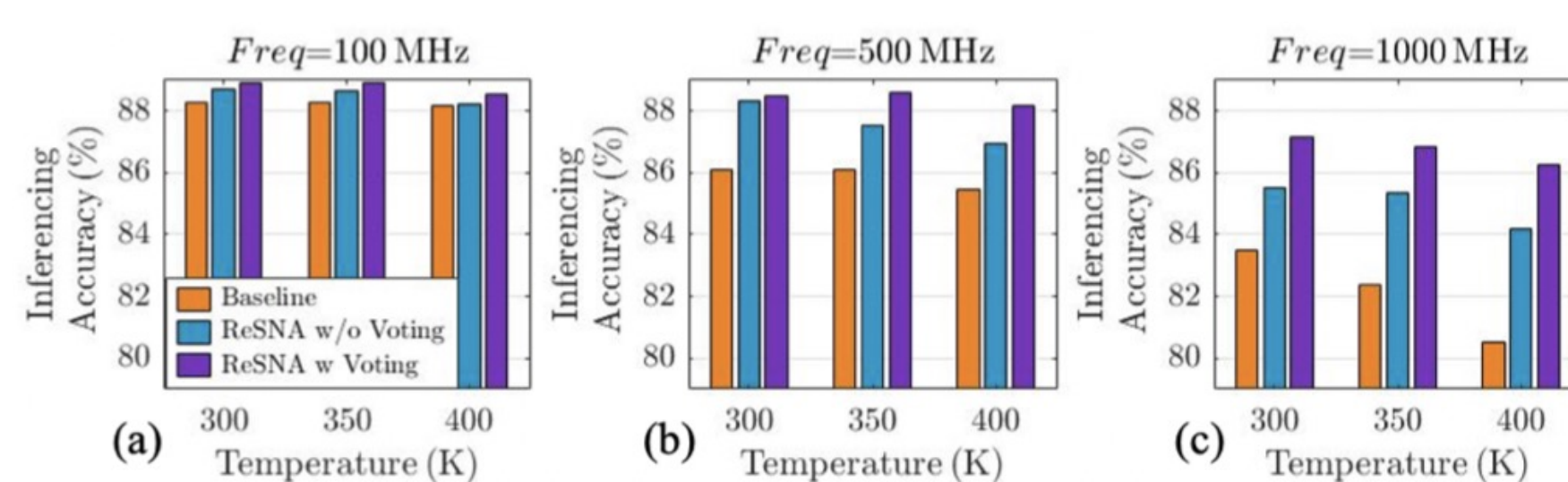
- Achieve high inferencing accuracy under stochastic noise.
- Effectively explore area-, energy-, latency-efficient designs.

Methods:

- ReSNA: ReRAM-based **Stochastic-Noise-Aware** Training
- CF-MESMO: **Continuous Fidelity** Max-value Entropy Search Multi-objective Optimization

- Cell Resolution
- Crossbar Size
- Frequency
- Temperature
- Programming Noise
- Thermal Noise
- Shot Noise
- RTN
- Inference Accuracy
- Area
- Energy
- Latency

MO Input, Fidelity Selection → Evaluation Process → MO Objectives → Next Candidate MO Input, Fidelity Selection



- ✓ Our CF-MESMO is capable of finding the Pareto optimal results.
- ✓ We can avoid high-latency or high-energy design based on our criteria and budget.
- ✓ From the Pareto set, we can see that high-cell resolution setting or high-frequency setting appears in the Pareto front due to the ReSNA method.

Build Endurance-aware Training [TCAD In Revision]

Highlights:

- Propose ESSENCE framework with an endurance-aware structured stochastic gradient pruning method.
- Dynamically adjust the probability of gradient update based on the current update counts to reduce the number of rewrite accesses.

Row-wise or column-wise update

Reduce the update probability if the gradient amplitude is low

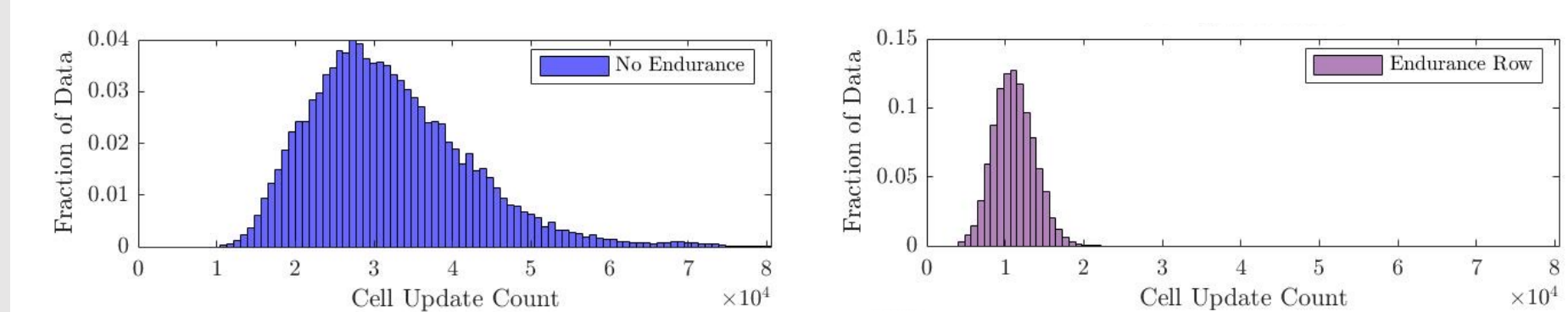
Reduce the update probability if the existing number of update in that row/column is large.

The expectation of the endurance-aware structured stochastic pruning gradient remains an **unbiased** gradient towards the minimization target

EXPERIMENT RESULTS ON THE CIFAR-10 DATASET.

(a) ResNet20		
Methods	Mean Update Counts (Savings)	Accuracy
SGD	117.30×10^3 (1×)	90.67%
No Endurance	33.26×10^3 (3.52×)	90.49%
Essence Row	11.45×10^3 (10.24×)	90.51%
Essence Column	11.40×10^3 (10.29×)	90.93%

(b) VGG19-BN		
Methods	Mean Update Counts (Savings)	Accuracy
SGD	117.30×10^3 (1×)	92.66%
No Endurance	25.64×10^3 (4.57×)	92.43%
Essence Row	11.45×10^3 (10.24×)	92.61%
Essence Column	11.58×10^3 (10.13×)	92.41%



Update count distribution in the last convolution layer in the ResNet20 network: Left: No Endurance, Right: Essence Row.

Summary

My works covers the following topics:

- design efficient PIM-based architecture for state-of-the-art models.
- guarantee the performance under the noise of the real hardware.
- enable the reliable and durable PIM-based hardware training.

My works contribute to the goal of achieving efficient and robust PIM designs and implementations with algorithmic/architectural/systematic innovations.

Reference

Publications presented in this poster:

- [1] X. Yang*, H. Yang*, N. Gong, and Y. Chen, "HERO: hessian-enhanced robust optimization for unifying and improving generalization and quantization performance," in DAC 2022.
- [2] X. Yang, B. Yan, H. H. Li, and Y. Chen, "ReTransformer: ReRAM-based processing-in-memory architecture for transformer acceleration," in ICCAD 2020.
- [3] X. Yang, S. Belakaria, B. K. Joardar, H. Yang, J. R. Doppa, P. P. Pande, K. Chakrabarty, and H. H. Li, "Multi-objective optimization of ReRAM crossbars for robust DNN inferencing under stochastic noise," in ICCAD 2021.
- [4] X. Yang, H. Yang, J. R. Doppa, P. P. Pande, K. Chakrabarty, and H. H. Li, "ESSENCE: Exploiting structured stochastic gradient pruning for endurance-aware ReRAM-based in-memory training systems," TCAD in Revision.

Other publications on this topic:

- [5] X. Yang, C. Wu, M. Li, and Y. Chen, "Tolerating noise effects in processing-in-memory systems for neural networks: A hardware-software codesign perspective," Adv. Intell. Sys. 2022.
- [6] C. Wu, X. Yang, H. Yu, R. Peng, I. Takeuchi, Y. Chen, and M. Li, "Harnessing optoelectronic noises in a photonic generative network," Sci. Adv. 2022.
- [7] C. Wu, X. Yang, Y. Chen, and M. Li, "Photonic Bayesian Neural Network using Programmed Optical Noises." JSTQE Under review.

I am seeking a job as a tenure track faculty member in 2022-2023.